

## UTILITY PATENT APPLICATION TRANSMITTAL

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR(S): Brian D. HERR and Joseph J. KAVAKY

TITLE: DYNAMIC SELF-TUNING MEMORY MANAGEMENT METHOD AND SYSTEM

## ASSIGNEE INFORMATION PURSUANT TO 37 C.F.R. 1.76

ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION  
ASSIGNEE RESIDENCE: ARMONK, NEW YORK

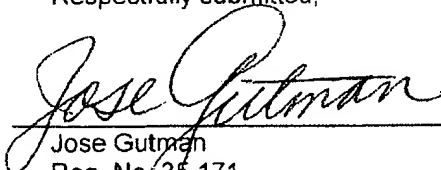
In connection with this application, the following are enclosed:

☒ XX Fee Transmittal Form☐ 29 Pages of Specification, Claims and Abstract☐ 22 Claims☐ 4 Sheets of Drawings (FIGS. 1-6)☒ XX Declaration and Power of Attorney☒ XX Information Disclosure Statement, Form PTO-1449 w/ 9 references☒ XX Assignment recordation sheet with assignment to: INTERNATIONAL BUSINESS MACHINES CORPORATION.☒ XX Please direct all correspondence to Customer Number 23334

FLEIT, KAIN, GIBBONS, GUTMAN  
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Respectfully submitted,

BY:

  
Jose Gutman  
Reg. No. 35,171

**FEE TRANSMITTAL**

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**INVENTOR(S):** Brian D. HERR and Joseph J. KAVAKY

**TITLE:** DYNAMIC SELF-TUNING MEMORY MANAGEMENT METHOD AND SYSTEM

The fee has been calculated as shown below. (Small entity fees indicated in parentheses.)

For	Number Filed		Number Extra	Rate Large (Small)	Basic Fee \$740 (\$370)
Total Claims	22	20	2	\$18 (\$9)	36
Independent Claims	5	3	2	\$84 (\$42)	168
Multiple Dependent Claims				\$280 (\$140)	0
Assignment Recording Fee				\$40	40
				<b>TOTAL FEE:</b>	<b>\$ 984</b>

XX The Commissioner is hereby authorized to charge Deposit Account No. 09-0463 in the amount of \$984. A duplicate copy of this sheet is enclosed.

XX The Commissioner is hereby authorized to charge payments of (1) any additional filing fees required under 37 CFR 1.16, and/or (2) any patent application processing fees under 37 CFR 1.17 associated with this application or credit any overpayment to Deposit Account No. 09-0463.

Respectfully submitted,

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Jose Gutman  
Reg. No. 38,171

A

11-28-01

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Atty Docket: POU920010125US1  
Brian D. HERR *et al.* : APPLICATIONS BRANCH  
Serial No. (not yet assigned) :  
Filed: HERewith :  
For: DYNAMIC SELF-TUNING MEMORY :  
MANAGEMENT METHOD AND :  
SYSTEM :

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09/994443  
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SIR:

I hereby certify that

☒ Application Transmittal  
☒ Fee Transmittal Form  
☒ Specification, Claims, Abstract  
☒ 1 set of 4 sheets of formal drawings  
☒ Declaration and Power of Attorney  
☒ Information Disclosure Statement, Form PTO-1449 w/ 9 references  
☒ Assignment Recordation Sheet with Assignment  
☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to:

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11/27/01  
Date of Deposit

Kathleen Smith  
Name of person mailing papers

Kathleen Smith  
Signature